

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

213911US2PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR  
09/926,148INTERNATIONAL APPLICATION NO.  
PCT/JP00/06557INTERNATIONAL FILING DATE  
25 September 2000PRIORITY DATE CLAIMED  
none

## TITLE OF INVENTION

ELECTRONIC CONTRACT SAFEKEEPING METHOD, ELECTRONIC CONTRACT VERIFYING METHOD, CONTRACTOR SERVER, CONTRACT SAFEKEEPING SERVER, ELECTRONIC CONTRACT SAFEKEEPING SYSTEM, AND MEMORY MEDIUM

APPLICANT(S) FOR DO/EO/US

KUDO Toshiyuki

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Submission of Declaration Under 37 CFR 1.494

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(a)(1)-(5)) : <b>09/926,148</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/06557</b>		ATTORNEY'S DOCKET NUMBER <b>213911US2PCT</b>	
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24. The following fees are submitted:.

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1040.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$740.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	- 20 =	0	x	\$18.00		<b>\$0.00</b>
Independent claims	- 3 =	0	x	\$84.00		<b>\$0.00</b>

Multiple Dependent Claims (check if applicable). ☐

**TOTAL OF ABOVE CALCULATIONS =**

☐ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**SUBTOTAL =**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

**TOTAL FEES ENCLOSED =**

	Amount to be: refunded	\$
	charged	\$

**CALCULATIONS PTO USE ONLY**

a. ☐ A check in the amount of     \$0.00     to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



22850

Surinder Sachar

Registration No. 34,423

*Surinder Sachar*

SIGNATURE

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**Marvin J. Spivak**

NAME

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**24,913**

REGISTRATION NUMBER

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*Oct. 10 2001*

DATE

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DOCKET NO.: 213911US2PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: KUDO Toshiyuki

SERIAL NUMBER: 09/926,148

FILED: September 12, 2001

FOR: ELECTRONIC CONTRACT SAFEKEEPING METHOD,  
ELECTRONIC CONTRACT VERIFYING METHOD, CONTRACTOR  
SERVER, CONTRACT SAFEKEEPING SERVER, ELECTRONIC  
CONTRACT SAFEKEEPING SYSTEM, AND MEMORY MEDIUM

**SUBMISSION OF MISSING REQUIREMENTS UNDER 37 CFR 1.494**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

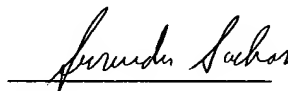
In accordance with the provisions of 37 CFR 1.494 Applicants submit herewith a  
a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application has now met all the requirements under 35  
U.S.C. 371 for entering the national stage. An early receipt of the Notification of Acceptance  
is hereby earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Registration No. 24,913  
Surinder Sachar  
Registration No. 34,423



**22850**

(703) 413-3000

O.S.&M. File No. 213911032 By MJS/dd FE

Due Date ASAP

Serial No. 09/926,148

In the Matter of the Application of KUDO Toshiyuki

For ELECTRONIC CONTRACT SAFEKEEPING METHOD, ELECTRONIC CONTRACT VERIFYING METHOD, CONTRACTOR SERVER, CONTRACT SAFEKEEPING SERVER, ELECTRONIC CONTRACT SAFEKEEPING SYSTEM, AND MEMORY MEDIUM

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ \_\_\_ pps. Specification & \_\_\_ Claims (English Translation)
- ☒ Combined Declaration, Petition & Power of Attorney (3 pages)
- ☒ Submission of Declaration under 37 CFR 1.494
- ☒ PCT Transmittal Letter
- ☐ Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Submission of Verified Statement (Declaration) Claiming Small Entity Status
- ☐ Check for \$ \_\_\_\_\_; ☒ Dep. Acct. Order Form
- ☐ Declaration of \_\_\_\_\_
- ☐ Assignment \_\_\_ pages/PTO-1595
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings \_\_\_ sheets
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement; ☐ PTO-1449
- ☐ Cited References ( )
- ☐ \_\_\_\_\_ Search Report
- ☐ Statement of Relevancy
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition
- ☐ Notice of Appeal

Date Rec'd 77 Rec'd PCT/PTO 10 OCT 2001

DOCKET NO: 213911US2PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: KUDO Toshiyuki

SERIAL NUMBER: 09/926,148

FILED: 12 September 2001

FOR: ELECTRONIC CONTRACT SAFEKEEPING METHOD, ELECTRONIC CONTRACT VERIFYING METHOD, CONTRACTOR SERVER, CONTRACT SAFEKEEPING SERVER, ELECTRONIC CONTRACT SAFEKEEPING SYSTEM, AND MEMORY MEDIUM

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

Sir:

Responsive to the notification dated *23 November 2001*, and in accordance with the provisions of 35 U.S.C. 371, Applicants submit herewith copy of the date-stamped filing receipt evidencing the filing of Rule 63 Declaration as well as a copy of the Declaration.

The required surcharge was paid at the time of filing the application. However, enclosed is a check in the amount of \$448.00 in payment of the claim fees due.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P. C.

WILLIAM E. BEAUMONT  
REGISTRATION NUMBER 30,996



**22850**

A handwritten signature in black ink, appearing to read 'Marvin J. Spivak', written over a horizontal line.

Marvin J. Spivak  
Registration No. 24,913  
Surinder Sachar  
Registration No. 34,423